

State of California



Fair Political Practices Commission

P.O. BOX 807 • SACRAMENTO, 95804 • • • 1100 K STREET BUILDING, SACRAMENTO, 95814

Technical Assistance
(916) 322-5662

• • Administration • •
322-5660

• • Executive/Legal • •
322-5901

• • Enforcement • •
322-6441

August 14, 1985

Senator David Roberti
President pro Tempore
State Capitol, Room 205
Sacramento, CA 95814

Re: Advice Request No. A-85-179

Dear Senator Roberti:

Chairman Stanford has asked me to respond to your question regarding the Statement of Economic Interests filed by Mr. J. T. (Tom) Hawthorne. As I understand the situation, Mr. Hawthorne filed his assuming office statement pursuant to Government Code Section 87302(b) prior to his Senate confirmation hearings. On that Statement he disclosed his ownership interest in Hawthorne Machinery Company, which he described as a Caterpillar Dealership.

A question was raised in the newspaper articles you sent us as to whether Mr. Hawthorne might have a conflict of interest as a member of the California Transportation Commission because of his interests in Hawthorne Machinery Company. You were concerned that Mr. Hawthorne's Statement of Economic Interests may not have adequately alerted the Senate Rules Committee to any potential conflict.

The Political Reform Act requires public officials to file Statements of Economic Interests so that potential conflicts of interest may be disclosed and prevented. Under the Act a public official may have to disqualify himself from a particular decision to avoid a conflict of interest. However, the Act never prohibits an individual from holding a public office because of the possibility of conflicts. Thus, Mr. Hawthorne cannot have a conflict of interest simply as a result of being a Transportation Commissioner. A conflict could occur, if at all, only with respect to specific decisions he might be called upon to make as a Transportation Commissioner.

The Commission will give written advice to individuals who wish to know whether they have conflicts of interest under the Act. If a nominee awaiting Senate confirmation asks for written

Senator David Roberti
August 14, 1985
Page 2

advice prior to the confirmation hearings, we will do our best to provide the advice in a timely manner. If the Senate Rules Committee has any question about a nominee's possible conflicts of interest, it may suggest to the nominee that he or she seek our advice. However, we cannot give such advice to the Rules Committee directly (see 2 Cal. Adm. Code Section 18329, copy enclosed).

As for your concern about the Statement of Economic Interests disclosure form, it now requires a general description of a business investment. Mr. Hawthorne provided a specific description, a Caterpillar Dealership. Perhaps whoever reviewed Mr. Hawthorne's statement was unaware of the fact Caterpillar manufactures heavy machinery, including machinery employed in highway construction. Its name and product line generally is well known, however. Additional lines could be added to the form with a requirement that filers provide even more descriptive information. If you believe this would be beneficial, we will be happy to discuss it with the Commission.

I hope this answers your concerns.

Very truly yours,


Barbara A. Milman
General Counsel

BAM:nwm
Enclosure



California Legislature

Senate Rules Committee

DAVID ROBERTI
Chairman

MEMBERS
WILLIAM A. CRAVEN
VICE CHAIRMAN
JOHN T. DOOLITTLE
HENRY J. MELLO
NICHOLAS C. PETRIS

JUL 01 1985

JUL 10 1985

July 9, 1985

Mr. Dan Stanford, Chairman
Fair Political Practices Commission
1100 K Street
Sacramento, California

Dear Mr. Stanford:

I am requesting an investigation into the allegations against Mr. J. T. (Tom) Hawthorne, a Governor's appointee to the California Transportation Commission. Enclosed are two recent newspaper articles regarding what appears to be a conflict of interest.

I am also concerned about the usefulness of the Statement of Economic Interest Mr. Hawthorne was required to file. If there is a conflict, should not his Statement in some way have alerted us to a possible conflict prior to his confirmation by the Senate?

Your attention to this investigation will be greatly appreciated.

Sincerely,

DAVID ROBERTI

DR:jm

Enclosures

Senator Says Road Votes, Dealership Are Conflict

JUN 26 1985

By RALPH FRAMMOLINO,
Times Staff Writer

State Senate President Pro Tem David A. Roberti said Tuesday that San Diego businessman J.T. (Tom) Hawthorne should resign from the California Transportation Commission because Hawthorne has a conflict of "enormous proportions" when he votes to finance San Diego highway projects.

The Times reported Sunday that Hawthorne, who owns San Diego County's exclusive dealership for new Caterpillar construction equipment, has voted to finance millions of dollars in highway and trolley construction projects that were later awarded to companies doing business with his dealership. Of the \$55.8 million approved by Hawthorne and now under contract in the county, \$51.6 million worth—or 92%—was awarded to his business customers.

Hawthorne and his eight colleagues on the commission vote to finance the projects, but they are prohibited from selecting which company will perform the work.

State law forbids any public official from taking part in a governmental decision if it is "reasonably foreseeable" that the outcome will financially affect him or a source of his income, such as a business customer.

"It strikes me that there is a conflict of interest and, in fact, considering the amount of dollars and the projects involved, the conflict is of enormous proportions," Roberti told The Times on Tuesday. The Los Angeles Democrat heads the Senate Rules Committee, which scrutinizes gubernatorial appointments before they are submitted to the full Senate for confirmation.

"The fact that Hawthorne is the sole distributor of Caterpillar products (in San Diego County), in my

Please see CONFLICT, Page 7

CONFLICT: Senator Says Official Should Resign

Continued from Page 1

mind, makes the issue more than foreseeable," Roberti said. "It's almost inevitable that clients will benefit."

"Frankly, I think Mr. Hawthorne should resign from the Transportation Commission. His votes are too inextricably interwoven with his own business."

Roberti said he may ask the state Fair Political Practices Commission to investigate the matter within the next few days. First, he said, he wants to review the tapes and files from Hawthorne's appearance before the Senate Rules Committee.

A spokeswoman for the FPPC declined to say whether an investigation is being conducted.

Hawthorne said Tuesday he has "no intent on resigning." He said he plans to attend a commission meeting Thursday in Sacramento, where he and his eight colleagues will vote on how to spend \$975 million for construction projects over the coming fiscal year.

"I'm sorry the senator feels that way about it," Hawthorne said. "My efforts are so dedicated to doing the right thing for the state Transportation Commission. I have the best insight and something to add to the commission."

"I'm trying to do the right thing. I'm sure I haven't done anything wrong."

Hawthorne, 56, owns Hawthorne Equipment Co., the only authorized Caterpillar dealership in San Diego County, and Hawthorne Rent-It Service, an equipment rental firm. He is a prominent San Diego County Republican fund-raiser who served as Gov. George Deukmejian's San Diego County co-finance chairman in the 1981 gubernatorial campaign.

Hawthorne's business connections were no secret when Deukmejian appointed him to a four-year term on the transportation commission in February, 1984. The state Senate unanimously confirmed him four months later.

But Roberti said Tuesday he did not remember Hawthorne's confirmation hearing, something that leads him to believe that the full extent of Hawthorne's business dealings was unknown during the Senate proceedings.

"I seriously doubt that we would have known that he is the exclusive Caterpillar dealer in San Diego," Roberti said. "I sure would have remembered the hearings. Even if we had ended up confirming him, it would have been far more controversial, asking for a lot of explanations."

A source close to the Rules Committee told The Times on Tuesday that a transcript of Hawthorne's confirmation proceedings shows no discussion of his ownership of the Caterpillar dealership. Hawthorne was simply listed as owner of the Hawthorne Equipment Co., the source said.

Confirmation hearings for transportation commissioners traditionally focus on appointees who own large tracts of undeveloped land, the value of which could be greatly enhanced by the routing of highways, the source said.

Sen. Bill Craven (R-Oceanside), also on the Rules Committee, said the question of Hawthorne's business never came up during the confirmation hearings.

Craven said he did not consider Hawthorne's votes a conflict and said he would be "somewhat reticent to commit toward resignation."

But Craven said he would favor a "ruling by the attorney general to see if there is a conflict, whether obvious or subtle. A ruling by the top law enforcement official in the state would clarify the question at hand."

Roberti said he did not believe any possible conflict by Hawthorne was "insidious" or necessarily obvious to senators who confirmed him. He said Hawthorne should resign "if for no other reason than based on how this played out . . . it's just too large a number of contracts that have been entered into or clients of his that have benefited."

Hawthorne should resign, Roberti said, because the "public should have the assurance that when highways are constructed, they are constructed out of need and proper priority-setting. That just never is a clear case when somebody has received substantial benefit."

Does Highway Official's Business Pose Interest Conflict?

By RALPH FRAMMOLINO, Times Staff Writer

J.T. (Tom) Hawthorne, an influential San Diego County Republican on the California Transportation Commission, has voted for millions of dollars in highway construction projects that later were awarded to firms doing business with his heavy-equipment dealership, state records show.

Hawthorne, 56, appointed by Gov. George Deukmejian to the commission in February, owns Hawthorne Equipment Co., the exclusive dealership in San Diego County for new Caterpillar construction equipment, and Hawthorne Rent-It Service, an equipment rental firm.

Since his appointment, Hawthorne has voted to finance more than \$55.8 million for San Diego highway and trolley construction projects now under contract. State records and interviews reveal that Hawthorne's Caterpillar customers will receive more than 90% of the money.

State law prohibits any public official from participating in a governmental decision if it is "reasonably foreseeable" that the outcome will have a financial effect on him or a source of income, such as a business customer. Though one state official has told The Times it is "possible" that Hawthorne violated that law, state guidelines are so complicated that it is unclear whether his votes were a conflict of interest.

Hawthorne said he never considered his votes to be a problem because the state Transportation Commission decides how much and when to allocate money for highway projects, not which company will get the work. Choosing the company is left to the California Department of Transportation (Caltrans), and commissioners such as Hawthorne generally have no say in that selection.

Yet Hawthorne conceded that it "crosses my mind" that certain

highway projects he votes on could likely translate into more business for his Caterpillar dealership. Hawthorne favors pro-growth measures in the San Diego area and said he sometimes sees his role on the Transportation Commission as an advocate for local highways.

"All these people are our (potential) customers, whoever gets the job," Hawthorne said. "There isn't a competitive contract that goes out there for bids that we don't anticipate that we, hopefully, are going to sell" some equipment because of it.

Asked if it would be unreasonable to conclude that his private business benefited by his actions as a commissioner, Hawthorne said, "No, it's not unreasonable at all."

Hawthorne's business was no secret when he was chosen for the Transportation Commission by Deukmejian and confirmed unanimously by the Senate.

"We obviously are aware of his

business," said Larry Thomas, Deukmejian's press secretary. "In fact, it was his reputation in that business that weighed heavily in his favor."

Thomas defended Hawthorne's appointment as part of the governor's policy to "appoint people who are extremely knowledgeable about a particular field to a state board or commission, that's involved in that field."

But a San Diego community activist, who recently opposed Hawthorne's position on a major highway project, said she has a "problem" with such a close association between business and politics.

"Why was this man appointed to the position he holds?" asked Dorothea Edmiston, whose group, Save Our Canyons, recently failed to change a state proposal to build Interstate 15 through a mid-city neighborhood.

"He's in a business that makes it a little bit suspicious, when you think about it, when he's making money from the use of equipment that builds highways," she said. "These are the highways that he has had a vote on whether they should be extended or widened or whatever."

In Hawthorne's world, political and business considerations have been intertwined since he and his father opened the Caterpillar dealership in 1956.

"My involvement (in politics) is from a business point of view," Hawthorne said in a recent interview. "I think that government affects your business. I'm interested in good government, better government, from my viewpoint, and that's anybody's prerogative as a voter."

"If you allow the Sierra Club to run the nation, or the Maxine Waters and Tom Hayden to run the government, then business, basically, would dry up, is my belief."

The growth of Hawthorne's business has always been linked to the growth of San Diego. The firm's well-being is so dependent on earth-moving for new homes and industrial plants that, when the industry hit bottom in 1932, Hawthorne feared that his Caterpillar construction equipment division was going to "fold up."

Today, the business employs 455 people and grosses about \$70 million a year in sales. About 15% to 20% of the business comes from public highway work, Hawthorne estimated.

With headquarters at 4200 Kearny Mesa Road, the company encompasses four divisions that either rent or sell diesel engines, forklifts and construction equipment. A fifth division is Hawthorne's exclusive Caterpillar dealership for Baja California.

Hawthorne owns the controlling interest in the company, which is considered a potent force in the local construction industry.

"Hawthorne is the distributor for Caterpillar equipment in San Diego, and most of your big earth-moving outfits lean toward Caterpillar if they can afford it. It's like the Cadillac of dirt-moving equipment," said Don Kidd, an official with the International Union of Operating Engineers, Local 12.

"I would say this—any new equipment that's Caterpillar equipment that's bought in San Diego, I would say the chances are 90% that they are sure to get it from Hawthorne," Kidd added.

Jerry Richeson, equipment superintendent for Minnesota Asphalt Inc. of El Cajon, said his company recently purchased two front-loaders from Hawthorne. Asked to describe what Hawthorne meant to his company, Richeson responded: "They're the only game in town."

Companies that do not buy new equipment from Hawthorne sometimes turn to him for parts, service and rental of Caterpillar machines. For example, ABC Construction, which recently won \$228,000 worth of highway projects previously voted on by Hawthorne, pays \$20,000 to \$30,000 annually to rent equipment from Hawthorne, a company official said.

Along with building his business, Hawthorne has been active in community service and politics.

He is a member of the Honorary Deputy Sheriff's Assn., the San Diego Rotary Club, the Boy Scouts of America and is an elder in the Reorganized Church of Jesus Christ of Latter-day Saints. He is a director of the Greater San Diego Chamber of Commerce, a director of the Bank of Commerce and a member of the Board of Overseers for UC San Diego.

Although his home is in Escondido, Hawthorne has concentrated his political efforts in San Diego, where his business is. He explained that he is keenly interested in City Council races because council members, through their decisions and appointments to local boards like the Planning Commission, determine whether to permit "restrictions on builders so that they can't afford to move to San Diego."

Over the years, Hawthorne has earned the reputation for being a quiet, behind-the-scenes political money man.

A member of the Golden Eagle Club, Hawthorne is one of the San Diego area businessmen who pay \$500 annually to support local Republican causes and candidates. He has donated money or raised money for Mayor Roger Hedgecock; Council members Gloria McColl, Dick Murphy, Ed Strickman, Bill Cleator and Uvaldo Martinez; San Diego County Supervisor Susan Golding; Assembly members Larry Stirling, Sunny Moyer and Wadie Deddeh; and state Sen. Jim Ellis.

In 1981, Hawthorne served as co-finance chairman for Deukmejian's San Diego County campaign. The local chairman of the Deukmejian campaign was Dan Stanford, now the chairman of the state's Fair Political Practices Commission, which is responsible for enforcing state conflict-of-interest laws.

Hawthorne's ties to Deukmejian, plus his standing in the construction industry, led to his appointment to the transportation commission. The nine-member commission is a relatively anonymous state body that oversees the planning and financing of highway projects.

Commissioners are paid \$100 plus expenses for monthly meetings during their four-year terms.

Hawthorne and his colleagues are not allowed to propose highway projects, but their official blessing is required for those projects to be included in the state's five-year construction plan.

They also vote to allocate, or release, millions of dollars in public money to pay for the individual highway projects. During the coming fiscal year, commissioners will decide where to spend as much as \$975 million in public money, a task that sometimes calls for them to choose between projects.

The bidding process for individual projects is handled by Caltrans after commissioners set aside the money. This arrangement, Caltrans officials say, is designed to prevent a commissioner from steering work toward a favorite contractor.

But even with this safeguard, a Times analysis of state records shows that Hawthorne's customers have won most of the work in San Diego.

The Times looked at construction projects financed by commissioners since February, 1981, when Hawthorne became a voting member. Although minutes of commission meetings do not identify who is

voting, Hawthorne confirmed that he routinely approves project allocations with his colleagues, saying that "not to my recollection" did he miss any of the votes. Only construction jobs were included in the sample; projects to upgrade light signals or landscape highway embankments, for example, were not counted.

- Of the 39 construction projects Hawthorne said he voted on, at least 24—or 61%—were awarded to companies that have purchased or rented equipment from Hawthorne's business.

- In terms of money, Hawthorne's customers won awards of at least \$51.6 million, an amount that represents nearly 92% of the \$55.8 million worth of San Diego projects Hawthorne voted on.

- One steady Hawthorne customer, The Daley Corp., won contracts for six of the 39 jobs, worth almost \$22 million. Those projects include a \$10.5 million job to create car-pool lanes and perform bridge work along I-15 near Rancho Penasquitos, and another \$5.8 million job to revise an Interstate 8 interchange in La Mesa.

Hawthorne voted to release money for the six projects, eventually won by Daley, within eight months after the construction firm purchased two large pieces of equipment from the Caterpillar dealership—a D8L tractor worth \$300,000 and a motor grader worth \$162,000.

- Three companies that won transportation projects either bought or rented equipment from Hawthorne specifically for the government job.

One company was Minnesota Asphalt, which won a job to refurbish several local highway ramps, a project approved in concept by the

transportation commission in August, 1984. The company was awarded the contract for \$1 million in November and bought a Caterpillar 966 front-loader from Hawthorne's dealership to use in the highway work. Since then, the company has purchased a second front-loader from Hawthorne.

A second was Modern Alloys Inc. of Stanton. The company was hired in October to replace the concrete barriers along Interstate 5 near Del Mar, a \$233,000 job. To perform the work, it rented a water truck and motor grader in December and January from Hawthorne for \$3,000, according to Modern Alloy's general manager.

The third company was Herzog Contracting. The company was hired to build a 4.5-mile extension from the San Diego trolley from 13th and Commercial streets to Euclid Avenue, an eastern spur that city officials say will be the first step in a commuter line to stretch to El Cajon. The first week on the job in June, 1984, Herzog rented a Hawthorne bulldozer for \$1,500. Now Herzog has run up a \$15,000 bill with Hawthorne for a series of rentals and repairs.

During his first commission meeting in February, 1984, Hawthorne helped persuade the commission to approve a \$3.5 million allocation to start the Euclid trolley line construction. In August, 1984—two months after Herzog began renting his equipment—Hawthorne voted another \$9.5 million to keep the construction project going. At the time of the second vote it was public knowledge that Herzog had the contract and that Herzog would get most of the additional money.

One of Hawthorne's competitors said he didn't think companies purchased or rented equipment

from the San Diego Caterpillar dealership because its owner is on the transportation commission. Bill Johnson, who owns the Caterpillar dealership in Riverside, said, "The purchaser would buy where the purchaser gets the best value."

"I would guess that many of the purchasers aren't even aware that he is on the transportation board. Maybe some of the big purchasers are aware of it, but the vast majority of them would not be aware of it."

Hawthorne said he was unaware that Herzog rented equipment from his company for the trolley work, or that others of his customers were receiving transportation projects he had voted to finance.

"I never thought of it as a conflict of interest because of the competitiveness of the business," he added. "When I allocated funds to a project because of my vote here, I have no awareness of who's going to get it or what kind of equipment they're going to use, because the bid comes up at a later date, on a low-bid to the state basis."

"I'm not sitting there as a tractor salesman. I'm sitting there as someone trying to do his best as a transportation commissioner."

Hawthorne has never asked the FPPC for a ruling on his votes.

In a 1975 case, the FPPC ruled that a Marin Municipal Water District director, who also owned a construction supply firm in his district, should refrain from discussing or voting on lifting a moratorium on water connections if there was a "sufficient likelihood" that new construction meant sales for his company.

Lynn Montgomery, spokeswoman for the FPPC, declined to say whether there is such a likelihood in Hawthorne's case but said there is a "possibility" the transportation commissioner may have acted in a conflict of interest.

HAWTHORNE

Continued from Page 5

"Public officials are in a position of trust," Montgomery said. "The courts have said that they should be aware of not only the actual improprieties but the appearance of improprieties."

Thomas, Deukmejian's press secretary, said there is no appearance of impropriety in Hawthorne's case.

Speaking about Hawthorne's business, Thomas said, "... you're talking about the best and the only service in town that could meet the needs of the contractor. Does it surprise you that a local contractor would go to him?"

Thomas acknowledged that Hawthorne's business would benefit from highway projects financed in the San Diego area.

"If the economy is strong and if freeways are being built and if you are associated with the freeway business and if you are a respected businessman, you are generally going to benefit," he said.

"But it is not a legal conflict," Thomas said. "That doesn't make for a legal, or even a generalized conflict of interest."

Hawthorne said his votes for San

Diego projects are largely perfunctory, like a "consent item." He said his financing votes affect the "timing" of highway and trolley jobs, which are destined to be built at some point because they are included in the state's transportation plan.

Yet Hawthorne said he does make a difference on the commission because, "I know something about construction and the budgets" and can help steer money toward San Diego projects.

"When they ask what's going on in San Diego, and they want to evaluate whether to build 40th Street or Route 52 over here, they would look to someone who is familiar with San Diego. What do you know about that project? How important is it? Give us the information that you have," Hawthorne said.

But in at least one case where he lobbied for a local major highway construction project, Hawthorne said, "It crossed my mind that I would like to see it built, and I'd like to have it use Caterpillar equipment."

That project—a 2.2 mile stretch of I-15 over what is now 40th Street—has been the focus of considerable debate. Edmiston and other mid-city residents have

fought the freeway, asking the state to put it underground so it would not disrupt the neighborhood. Caltrans, however, offered to cover only one block.

The years-long fight came before the city council recently, and Hawthorne appeared before council in April and May. Identifying himself as a transportation commissioner, he warned that the city would lose \$27 million in state and federal money for the I-15 segment if it did not accept the state's proposal.

Hawthorne never mentioned that he was San Diego's only Caterpillar dealer, or that he is the reelection finance chairman for Councilwoman Gloria McColl, through whose district the highway passes. McColl made the motion to accept the state's offer.

Asked how it looked for a state transportation commissioner to push a highway contract he hoped would enhance his equipment business, Hawthorne said some people could "read into" his actions a selfish motive, something he insists was not the case.

To those people, Hawthorne said, his involvement "has the appearance of sin."

Times Staff Writer Kenneth F. Bunting contributed to this report.

State of California



Fair Political Practices Commission

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July 16, 1985

Senator David Roberti
State Capitol
Sacramento, CA 95814

Dear Senator Roberti:

The purpose of this brief letter is to let you know that I received your letter, dated July 9, 1985, and its enclosures, and I have transmitted the information to Roger Brown, the Chief of the Enforcement Division here at the Fair Political Practices Commission. I can assure you that your complaint against Mr. Hawthorne, a member of the California Transportation Commission, will be carefully reviewed by the Enforcement Division and processed, by that division, in the same manner as all such complaints received by this office.

I have also asked Barbara Milman, the General Counsel, to review your concern stated in the second paragraph of your letter regarding Mr. Hawthorne's Statement of Economic Interest. We will report back to you once the Legal Division has reviewed the issue.

Thank you for your correspondence and I can, again, assure you that it will receive prompt attention.

Very truly yours,

A handwritten signature in cursive script that reads "Dan Stanford".
Dan Stanford
Chairman

DS:jc

FORM 730

RCVD BY CTC

Statement of Economic Interests For Designated Employees

MAR 29 1985

APR 1 1985

Important Notice to Filers: The Political Reform Act is intended to prevent conflicts of interests by requiring public officials such as yourself to disclose financial interests which could foreseeably cause conflicts. In addition, as a public official, you may be required to disqualify yourself from making, participating in, or attempting to influence any governmental decision which will affect your financial interests, including those you are required to report on this Statement. The Fair Political Practices Commission's *Guide to the Political Reform Act: California's Conflict of Interest Law for Public Officials* explains what a conflict of interest is, and when disqualification is required by law. Failure to file your Statement before the filing deadline may result in penalties including but not limited to late fines.

Please type or print in ink

NAME James Thomas Hawthorne	POSITION Member-California Transportation Commission
STATE DEPARTMENT AND UNIT OR LOCAL AGENCY California Transportation Commission	
MAILING ADDRESS P.O. Box 708, San Diego, California 92112	TELEPHONE NUMBER 619/277-2260

Check the appropriate box(es):

☐ **INITIAL STATEMENT:** The Conflict of Interest Code for your agency becomes effective on _____ (DATE) You must file a Statement within thirty days after this date disclosing your reportable interests held on this date. You are not required to disclose income, gifts or loans on this Statement.

☐ **ASSUMING OFFICE STATEMENT:** You have assumed office on _____ (DATE) You must disclose all reportable interests, other than income, gifts and loans, held on the date you assumed your position.

☐ Position subject to State Senate confirmation—file thirty days after appointment or nomination.

☐ All other positions—file thirty days after assuming office.

☒ **ANNUAL STATEMENT:** You are required to file a Statement no later than April 1, 1985 disclosing all reportable interests held or received during the period from January 1, 1984 through December 31, 1984.
February 22, 1984

☐ **LEAVING OFFICE STATEMENT:** You are leaving or have left your position on _____ (DATE) and must file a Statement within thirty days of that date. You must disclose all reportable interests held or received during the period from January 1, _____ through the date you left office.

☐ **CANDIDATE STATEMENT:** You are a candidate for elective office. You must disclose all reportable interests, other than income, gifts, and loans, held on the date you filed nomination papers. You must file this Statement no later than the final filing date of a declaration of candidacy.

The Following Summary Must Be Completed by All Filers

(Do not complete this summary until you have reviewed all schedules and the instructions on the reverse side of each schedule carefully.)

SCHEDULE A—Investments

☒ Schedule completed and attached ☐ No reportable interests ☐ Schedule not applicable to my disclosure category

SCHEDULE B—Interests in Real Property

☒ Schedule completed and attached ☐ No reportable interests ☐ Schedule not applicable to my disclosure category

SCHEDULE C—Interests in Real Property and Investments Held by Business Entities or Trusts

☒ Schedule completed and attached ☐ No reportable interests ☐ Schedule not applicable to my disclosure category

SCHEDULE D—Income (other than Gifts and Loans)

☐ Schedule completed and attached ☐ No reportable interests ☐ Schedule not applicable to this type of statement or to my disclosure category

SCHEDULE E—Income (Loans)

☐ Schedule completed and attached ☐ No reportable interests ☐ Schedule not applicable to this type of statement or to my disclosure category

SCHEDULE F—Income (Gifts)

☐ Schedule completed and attached ☐ No reportable interests ☐ Schedule not applicable to this type of statement or to my disclosure category

SCHEDULE G—Business Positions

☒ Schedule completed and attached ☐ No reportable interests ☐ Schedule not applicable to this type of statement or to my disclosure category

SCHEDULE H—Income and Loans to Business Entities

☐ Schedule completed and attached ☐ No reportable interests ☐ Schedule not applicable to my disclosure category

Note: Filers whose reportable interests on any particular schedule have not changed since a previously filed annual Statement may attach copies of the appropriate schedule from the previous annual Statement. Please discard those schedules on which you have nothing to report.

VERIFICATION

I declare under penalty of perjury that I have used all reasonable diligence in preparing this Statement, that I have reviewed the attached schedules and to the best of my knowledge the information provided in this Statement is true and correct.

Executed on March 27, 19 85, at San Diego, California

SIGNATURE

All of the information required by this form is mandatory, is required by the provisions of the Political Reform Act, Government Code Section 81000 et seq., and will be available to any member of the public upon request. This information is to be used to reveal to public scrutiny certain financial interests of public officials and employees in order to disclose potential conflicts of interests and to aid in the prevention of actual conflicts of interests.

Schedule A — Investments

You must report investments in business entities, of the type described in your disclosure category, located in, or doing business in, your agency's jurisdiction, in which you and your immediate family had an aggregate interest of more than \$1,000 during the reporting period. For each investment indicate both the value and the ownership interest in the boxes provided.

NAME OF BUSINESS ENTITY Hawthorne Machinery Co.			VALUE	<input type="checkbox"/> \$1 001 – \$10,000
NATURE OF INTERESTS, E.G., COMMON STOCK, PARTNERSHIP INTEREST, ETC. Common Stock				<input type="checkbox"/> \$10,001 – \$100,000
				<input checked="" type="checkbox"/> Over \$100,000
GENERAL DESCRIPTION OF BUSINESS ACTIVITY Caterpillar Dealership	<input checked="" type="checkbox"/> ACQUIRED <input type="checkbox"/> DISPOSED	DATE Nov. 19, 1956	OWNERSHIP INTEREST	<input type="checkbox"/> Less than 10% * <input checked="" type="checkbox"/> 10% or greater
NAME OF BUSINESS ENTITY Bank of Commerce			VALUE	<input type="checkbox"/> \$1 001 – \$10,000
NATURE OF INTERESTS, E.G., COMMON STOCK, PARTNERSHIP INTEREST, ETC. Common Stock				<input type="checkbox"/> \$10,001 – \$100,000
				<input checked="" type="checkbox"/> Over \$100,000
GENERAL DESCRIPTION OF BUSINESS ACTIVITY Bank	<input checked="" type="checkbox"/> ACQUIRED <input type="checkbox"/> DISPOSED	DATE Oct. 13, 1975	OWNERSHIP INTEREST	<input checked="" type="checkbox"/> Less than 10% * <input type="checkbox"/> 10% or greater
NAME OF BUSINESS ENTITY			VALUE	<input type="checkbox"/> \$1 001 – \$10,000
NATURE OF INTERESTS, E.G., COMMON STOCK, PARTNERSHIP INTEREST, ETC.				<input type="checkbox"/> \$10,001 – \$100,000
				<input type="checkbox"/> Over \$100,000
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	<input type="checkbox"/> ACQUIRED <input type="checkbox"/> DISPOSED	DATE	OWNERSHIP INTEREST	<input type="checkbox"/> Less than 10% * <input type="checkbox"/> 10% or greater
NAME OF BUSINESS ENTITY			VALUE	<input type="checkbox"/> \$1 001 – \$10,000
NATURE OF INTERESTS, E.G., COMMON STOCK, PARTNERSHIP INTEREST, ETC.				<input type="checkbox"/> \$10,001 – \$100,000
				<input type="checkbox"/> Over \$100,000
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	<input type="checkbox"/> ACQUIRED <input type="checkbox"/> DISPOSED	DATE	OWNERSHIP INTEREST	<input type="checkbox"/> Less than 10% * <input type="checkbox"/> 10% or greater
NAME OF BUSINESS ENTITY			VALUE	<input type="checkbox"/> \$1 001 – \$10,000
NATURE OF INTERESTS, E.G., COMMON STOCK, PARTNERSHIP INTEREST, ETC.				<input type="checkbox"/> \$10,001 – \$100,000
				<input type="checkbox"/> Over \$100,000
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	<input type="checkbox"/> ACQUIRED <input type="checkbox"/> DISPOSED	DATE	OWNERSHIP INTEREST	<input type="checkbox"/> Less than 10% * <input type="checkbox"/> 10% or greater
NAME OF BUSINESS ENTITY			VALUE	<input type="checkbox"/> \$1 001 – \$10,000
NATURE OF INTERESTS, E.G., COMMON STOCK, PARTNERSHIP INTEREST, ETC.				<input type="checkbox"/> \$10,001 – \$100,000
				<input type="checkbox"/> Over \$100,000
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	<input type="checkbox"/> ACQUIRED <input type="checkbox"/> DISPOSED	DATE	OWNERSHIP INTEREST	<input type="checkbox"/> Less than 10% * <input type="checkbox"/> 10% or greater

* If you have checked this box, you may have to report your pro rata share of the gross income to, or the interests in real property and investments held by, the business entity on Schedules C, D or H.

Note: You must report any salary, commission, distribution or other income paid to you or your spouse by the business entity on Schedule D, whether or not you have a 10% ownership interest.

Schedule A — Investments

Your "immediate family" means yourself, your spouse, and your dependent children.

An "investment" is any financial interest in a business entity. The most common kinds of investments are corporate stocks and bonds, interests in partnerships, and sole proprietorships. You do not have to report investments in bank accounts, insurance policies, government bonds, interests in diversified mutual funds registered with the S.E.C. under the Investment Act of 1940, or interests in common trust funds created pursuant to Section 1564 of the Financial Code. If you are a beneficiary or maker of a trust, consult the detailed Instruction Manual or your agency's legal counsel or filing officer.

A business entity is in the jurisdiction if it or a related business entity:

- Is located or resides in the jurisdiction.
- Does business in the jurisdiction.
- Plans to do business in the jurisdiction.
- Has done business in the jurisdiction within the previous two years.
- Has an interest in real property in the jurisdiction.

Schedule B — Interests in Real Property

You must report interests in the types of real property described in your disclosure category, located in your agency's jurisdiction, in which you and your immediate family had an aggregate interest of more than \$1,000 during the reporting period. You do not have to report your principal place of residence.

STREET ADDRESS OR PRECISE LOCATION OF PROPERTY 8045 Armour Street, San Diego, Ca.		VALUE	<input type="checkbox"/> \$1,001 – \$10,000
NATURE OF INTEREST, E.G., EQUITY, OPTION Equity			<input type="checkbox"/> \$10,001 – \$100,000
			<input checked="" type="checkbox"/> Over \$100,000
<input checked="" type="checkbox"/> ACQUIRED <input type="checkbox"/> DISPOSED	DATE Nov. 12, 1969	IF RENTAL PROPERTY, OWNERSHIP INTEREST IS:	<input type="checkbox"/> Less than 10% <input checked="" type="checkbox"/> 10% or greater
STREET ADDRESS OR PRECISE LOCATION OF PROPERTY 8011 Armour Street, San Diego, Ca.		VALUE	<input type="checkbox"/> \$1,001 – \$10,000
NATURE OF INTEREST, E.G., EQUITY, OPTION Equity			<input type="checkbox"/> \$10,001 – \$100,000
			<input checked="" type="checkbox"/> Over \$100,000
<input checked="" type="checkbox"/> ACQUIRED <input type="checkbox"/> DISPOSED	DATE July 28, 1971	IF RENTAL PROPERTY, OWNERSHIP INTEREST IS:	<input type="checkbox"/> Less than 10% <input checked="" type="checkbox"/> 10% or greater
STREET ADDRESS OR PRECISE LOCATION OF PROPERTY 530 Andreasen Drive, Escondido, Ca.		VALUE	<input type="checkbox"/> \$1,001 – \$10,000
NATURE OF INTEREST, E.G., EQUITY, OPTION Equity			<input type="checkbox"/> \$10,001 – \$100,000
			<input checked="" type="checkbox"/> Over \$100,000
<input checked="" type="checkbox"/> ACQUIRED <input type="checkbox"/> DISPOSED	DATE May 25, 1976	IF RENTAL PROPERTY, OWNERSHIP INTEREST IS:	<input type="checkbox"/> Less than 10% <input checked="" type="checkbox"/> 10% or greater
STREET ADDRESS OR PRECISE LOCATION OF PROPERTY 2681 Ocean Boulevard, Carlsbad, Ca.		VALUE	<input type="checkbox"/> \$1,001 – \$10,000
NATURE OF INTEREST, E.G., EQUITY, OPTION Equity			<input type="checkbox"/> \$10,001 – \$100,000
			<input checked="" type="checkbox"/> Over \$100,000
<input checked="" type="checkbox"/> ACQUIRED <input type="checkbox"/> DISPOSED	DATE Oct. 23, 1972	IF RENTAL PROPERTY, OWNERSHIP INTEREST IS:	<input type="checkbox"/> Less than 10% <input checked="" type="checkbox"/> 10% or greater
STREET ADDRESS OR PRECISE LOCATION OF PROPERTY		VALUE	<input type="checkbox"/> \$1,001 – \$10,000
NATURE OF INTEREST, E.G., EQUITY, OPTION			<input type="checkbox"/> \$10,001 – \$100,000
			<input type="checkbox"/> Over \$100,000
<input type="checkbox"/> ACQUIRED <input type="checkbox"/> DISPOSED	DATE	IF RENTAL PROPERTY, OWNERSHIP INTEREST IS:	<input type="checkbox"/> Less than 10% <input type="checkbox"/> 10% or greater
STREET ADDRESS OR PRECISE LOCATION OF PROPERTY		VALUE	<input type="checkbox"/> \$1,001 – \$10,000
NATURE OF INTEREST, E.G., EQUITY, OPTION			<input type="checkbox"/> \$10,001 – \$100,000
			<input type="checkbox"/> Over \$100,000
<input type="checkbox"/> ACQUIRED <input type="checkbox"/> DISPOSED	DATE	IF RENTAL PROPERTY, OWNERSHIP INTEREST IS:	<input type="checkbox"/> Less than 10% <input type="checkbox"/> 10% or greater

* If you have checked this box, you may have to report your pro rata share of the total gross rental income (if \$250 or more) on Schedule D, and your pro rata share of the gross rental paid by any individual tenant (if \$10,000 or more) on Schedule H.

Schedule B — Interests in Real Property

"Interests in real property" include ownership interests, options to purchase, and leasehold interests if ten years or more remain on the lease. The value of a leasehold interest is the total amount of rent owed by you during the reporting period. An interest in real property is not reportable if it is your principal place of residence. However, if your principal place of residence also is a place of business, e.g., a family farm or retail business, you are required to disclose that portion of the property which is used in connection with your business activities.

An interest in real property is in the jurisdiction of a local agency if it is located in or within two miles of the jurisdiction of the agency, or if it is located in or within two miles of any land owned or used by the agency. An interest in real property is located within the jurisdiction of a state agency if it is located anywhere within the State of California.

Schedule C — Interests in Real Property and Investments Held by Business Entities or Trusts

During the reporting period did you or your immediate family have a 10% or greater interest in a business entity or in a trust?

- ☐ No—You do not have to complete this schedule.
☒ Yes—Continue reading below.

If yes, does the business entity or trust hold interests in real property or investments of the type covered by your disclosure category?

- ☐ No—You do not have to complete this schedule.
☒ Yes—You must report such interests in real property or investments owned by the business entity or trust if:

- a) Your pro rata share in any *interest in real property* is more than \$1,000 and the real property is located in your jurisdiction (report this on Schedule C-1 below); or
- b) Your pro rata share of any *investment* is more than \$1,000 and the investment is in a business entity located in, or doing business in, your jurisdiction (report this on Schedule C-2 on reverse).

This reporting requirement applies regardless of where your business entity is located or doing business.

Schedule C-1
Interests in Real Property

NAME OF BUSINESS ENTITY OR TRUST HOLDING INTERESTS IN REAL PROPERTY

STREET ADDRESS OR PRECISE LOCATION OF PROPERTY 1066 North Magnolia Avenue; El Cajon, Ca.		VALUE <input type="checkbox"/> \$1,001–\$10,000 <input type="checkbox"/> \$10,001–\$100,000 <input checked="" type="checkbox"/> Over \$100,000
NATURE OF INTEREST, E.G., EQUITY, OPTION Equity		
<input checked="" type="checkbox"/> ACQUIRED <input type="checkbox"/> DISPOSED	DATE July 9, 1980	
STREET ADDRESS OR PRECISE LOCATION OF PROPERTY 1080 North Magnolia Ave., El Cajon, Ca.		VALUE <input type="checkbox"/> \$1,001–\$10,000 <input type="checkbox"/> \$10,001–\$100,000 <input checked="" type="checkbox"/> Over \$100,000
NATURE OF INTEREST, E.G., EQUITY, OPTION Equity		
<input checked="" type="checkbox"/> ACQUIRED <input type="checkbox"/> DISPOSED	DATE May 10, 1982	
STREET ADDRESS OR PRECISE LOCATION OF PROPERTY		VALUE <input type="checkbox"/> \$1,001–\$10,000 <input type="checkbox"/> \$10,001–\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INTEREST, E.G., EQUITY, OPTION		
<input type="checkbox"/> ACQUIRED <input type="checkbox"/> DISPOSED	DATE	
STREET ADDRESS OR PRECISE LOCATION OF PROPERTY		VALUE <input type="checkbox"/> \$1,001–\$10,000 <input type="checkbox"/> \$10,001–\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INTEREST, E.G., EQUITY, OPTION		
<input type="checkbox"/> ACQUIRED <input type="checkbox"/> DISPOSED	DATE	

Schedule C-2 Investments

NAME OF BUSINESS ENTITY OR TRUST HOLDING INVESTMENTS

NAME OF BUSINESS ENTITY Hawthorne Rent-It Service		VALUE <input type="checkbox"/> \$1,001-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input checked="" type="checkbox"/> Over \$100,000
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP INTEREST, ETC. Common Stock		
GENERAL DESCRIPTION OF BUSINESS ACTIVITY Equipment Rental Yards	<input checked="" type="checkbox"/> ACQUIRED DATE <input type="checkbox"/> DISPOSED Oct. 10, 1974	
NAME OF BUSINESS ENTITY		VALUE <input type="checkbox"/> \$1,001-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP INTEREST, ETC.		
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	<input type="checkbox"/> ACQUIRED DATE <input type="checkbox"/> DISPOSED	
NAME OF BUSINESS ENTITY		VALUE <input type="checkbox"/> \$1,001-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP INTEREST, ETC.		
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	<input type="checkbox"/> ACQUIRED DATE <input type="checkbox"/> DISPOSED	
NAME OF BUSINESS ENTITY		VALUE <input type="checkbox"/> \$1,001-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP INTEREST, ETC.		
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	<input type="checkbox"/> ACQUIRED DATE <input type="checkbox"/> DISPOSED	
NAME OF BUSINESS ENTITY		VALUE <input type="checkbox"/> \$1,001-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP INTEREST, ETC.		
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	<input type="checkbox"/> ACQUIRED DATE <input type="checkbox"/> DISPOSED	

Schedule C — Interests in Real Property and Investments Held by Business Entities or Trusts

You must provide the same information for any interest in real property or investment listed on this schedule that is required for any investment or interest in real property listed on Schedule A or B. If you are a beneficiary or maker of a trust, consult the detailed Instruction Manual or your agency's legal counsel or filing officer.

To determine your "pro rata share" of an interest in real property or investment held by a business entity, multiply the value of the interest or investment by the percentage of the business entity owned by you and your immediate family.

Schedule D — Income (Other than Gifts and Loans)

You must report your gross income (other than gifts and loans) and your community property interest in your spouse's gross income from sources described in your disclosure category. Income includes all payments aggregating \$250 or more received from any source located in, or doing business in, your agency's jurisdiction. Salary, commissions and other payments should be listed on this schedule. You do not have to report salary (including per diem) from federal, state and local government agencies. (See instructions on reverse for other types of income which are not reportable.)

NAME OF SOURCE OF INCOME	AMOUNT <input type="checkbox"/> \$250-\$1,000 <input type="checkbox"/> \$1,001-\$10,000 <input type="checkbox"/> Over \$10,000
ADDRESS OF SOURCE OF INCOME	
BUSINESS ACTIVITY OF SOURCE OF INCOME, IF ANY	
DESCRIPTION OF THE CONSIDERATION FOR WHICH INCOME WAS RECEIVED	
NAME OF SOURCE OF INCOME	AMOUNT <input type="checkbox"/> \$250-\$1,000 <input type="checkbox"/> \$1,001-\$10,000 <input type="checkbox"/> Over \$10,000
ADDRESS OF SOURCE OF INCOME	
BUSINESS ACTIVITY OF SOURCE OF INCOME, IF ANY	
DESCRIPTION OF THE CONSIDERATION FOR WHICH INCOME WAS RECEIVED	
NAME OF SOURCE OF INCOME	AMOUNT <input type="checkbox"/> \$250-\$1,000 <input type="checkbox"/> \$1,001-\$10,000 <input type="checkbox"/> Over \$10,000
ADDRESS OF SOURCE OF INCOME	
BUSINESS ACTIVITY OF SOURCE OF INCOME, IF ANY	
DESCRIPTION OF THE CONSIDERATION FOR WHICH INCOME WAS RECEIVED	
NAME OF SOURCE OF INCOME	AMOUNT <input type="checkbox"/> \$250-\$1,000 <input type="checkbox"/> \$1,001-\$10,000 <input type="checkbox"/> Over \$10,000
ADDRESS OF SOURCE OF INCOME	
BUSINESS ACTIVITY OF SOURCE OF INCOME, IF ANY	
DESCRIPTION OF THE CONSIDERATION FOR WHICH INCOME WAS RECEIVED	
NAME OF SOURCE OF INCOME	AMOUNT <input type="checkbox"/> \$250-\$1,000 <input type="checkbox"/> \$1,001-\$10,000 <input type="checkbox"/> Over \$10,000
ADDRESS OF SOURCE OF INCOME	
BUSINESS ACTIVITY OF SOURCE OF INCOME, IF ANY	
DESCRIPTION OF THE CONSIDERATION FOR WHICH INCOME WAS RECEIVED	

Schedule D — Income (Other than Loans and Gifts)

The most common forms of income are salary, wages, income from a business entity and proceeds from sales, including the sale of a house or car. Income includes your community property share of your spouse's income.

You do not have to report salary and reimbursement for expenses or per diem received from a state, local or federal government agency; dividends, interest or other returns on a security registered with the S.E.C.; payments under an insurance policy; interest on bank accounts or bonds; income of dependent children; alimony or child support payments; redemption of mutual funds; bequests or inheritances; or any payments received under a defined benefit pension plan qualified under Internal Revenue Code Section 401(a). If you or your spouse is a beneficiary or maker of a trust, consult the detailed instruction Manual or your agency's legal counsel or filing officer.

If you and your immediate family own an aggregate interest of 10% or more in a business entity, or in rental property, you must report your pro rata share of the total gross income to the business entity, or the total gross rentals from the rental property, on this schedule, if the gross income or gross rentals are received from a source of income covered by your disclosure category. In computing your pro rata share, include your community property interest in your spouse's share of the total gross income or total gross rentals.

You must report any salary, commission, distribution or other payment to you or your spouse from any business entity of the type covered by your disclosure category, whether or not you have a 10% ownership interest in the entity.

Income to a business entity should be reported on Schedule H.

Loans and gifts are also income, and should be reported on Schedules E and F.

Schedule E — Loans

You must report loans aggregating \$250 or more which were received or were outstanding during the reporting period from any source of income covered by your disclosure category located in, or doing business in, your agency's jurisdiction. Loans from family members do not have to be reported. (Report loans to business entities on Schedule H.)

NAME OF LENDER		AMOUNT OF HIGHEST BALANCE <input type="checkbox"/> \$250-\$1,000 <input type="checkbox"/> \$1,001-\$10,000 <input type="checkbox"/> Over \$10,000
ADDRESS OF LENDER		
BUSINESS ACTIVITY OF LENDER		
SECURITY FOR LOAN <input type="checkbox"/> NONE	ENTIRE LOAN REPAYED <input type="checkbox"/>	INTEREST RATE <input type="checkbox"/> NONE

NAME OF LENDER		AMOUNT OF HIGHEST BALANCE <input type="checkbox"/> \$250-\$1,000 <input type="checkbox"/> \$1,001-\$10,000 <input type="checkbox"/> Over \$10,000
ADDRESS OF LENDER		
BUSINESS ACTIVITY OF LENDER		
SECURITY FOR LOAN <input type="checkbox"/> NONE	ENTIRE LOAN REPAYED <input type="checkbox"/>	INTEREST RATE <input type="checkbox"/> NONE

NAME OF LENDER		AMOUNT OF HIGHEST BALANCE <input type="checkbox"/> \$250-\$1,000 <input type="checkbox"/> \$1,001-\$10,000 <input type="checkbox"/> Over \$10,000
ADDRESS OF LENDER		
BUSINESS ACTIVITY OF LENDER		
SECURITY FOR LOAN <input type="checkbox"/> NONE	ENTIRE LOAN REPAYED <input type="checkbox"/>	INTEREST RATE <input type="checkbox"/> NONE

Schedule F — Gifts

You must report all gifts received during the reporting period aggregating \$50 or more from any source of income covered by your disclosure category. Gifts from family members do not have to be reported.

NAME OF DONOR	DATE(S) OF GIFT(S)
ADDRESS OF DONOR	VALUE OF GIFT(S)
BUSINESS ACTIVITY OF DONOR, IF ANY	DESCRIPTION OF GIFT(S)

NAME OF DONOR	DATE(S) OF GIFT(S)
ADDRESS OF DONOR	VALUE OF GIFT(S)
BUSINESS ACTIVITY OF DONOR, IF ANY	DESCRIPTION OF GIFT(S)

NAME OF DONOR	DATE(S) OF GIFT(S)
ADDRESS OF DONOR	VALUE OF GIFT(S)
BUSINESS ACTIVITY OF DONOR, IF ANY	DESCRIPTION OF GIFT(S)

Schedule E — Loans

You must report all loans (including your community property interest in loans to your spouse) which were received or outstanding during the reporting period at the highest amount owed during the reporting period. You do not have to report loans from commercial lenders made in the ordinary course of business on terms available to the public without regard to official status if the loans:

- (1) Were used to purchase your principal place of residence; or
- (2) Aggregate less than \$10,000 from any one lender, including indebtedness arising from retail installment or credit transactions.

You do not have to report loans from family members.

Schedule F — Gifts

You do not have to report gifts of food, drink or lodging provided in another individual's home; gifts approximately equal in value exchanged between you and an individual, other than a lobbyist, on holidays, birthdays, or similar occasions; gifts of informational materials, such as books, pamphlets, reports, calendars or periodicals; gifts from family members, gifts which are not used and within thirty days are returned to the donor or delivered to a charitable organization without being claimed as a charitable contribution for tax purposes; or any bequest or inheritance.

You must report all other gifts of \$50 or more from any individual or entity that is a source of income covered by your disclosure category, whether or not the donor resides in, is located in, or doing business within the jurisdiction.

Schedule G — Business Positions

If you were a director, officer, partner, trustee, employee or held any position of management during the reporting period in any business entity of the type covered by your disclosure category, you must complete this schedule. If your position commenced or terminated during the reporting period, indicate the date on which this occurred.

NAME OF ENTITY Hawthorne Machinery Co.	ADDRESS OF ENTITY 4200 Kearny Mesa Road; San Diego, Ca.
GENERAL DESCRIPTION OF BUSINESS ACTIVITY Caterpillar Dealership	YOUR JOB TITLE OR POSITION President
POSITION HELD THROUGH ENTIRE REPORTING PERIOD? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	POSITION COMMENCED ON <u>1960</u> POSITION TERMINATED ON <u>--</u>
NAME OF ENTITY Bank of Commerce	ADDRESS OF ENTITY 7980 Clairemont Mesa Blvd., S.D., Ca.
GENERAL DESCRIPTION OF BUSINESS ACTIVITY Bank	YOUR JOB TITLE OR POSITION Director
POSITION HELD THROUGH ENTIRE REPORTING PERIOD? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	POSITION COMMENCED ON <u>1975</u> POSITION TERMINATED ON <u> </u>
NAME OF ENTITY 	ADDRESS OF ENTITY
GENERAL DESCRIPTION OF BUSINESS ACTIVITY 	YOUR JOB TITLE OR POSITION
POSITION HELD THROUGH ENTIRE REPORTING PERIOD? <input type="checkbox"/> Yes <input type="checkbox"/> No	POSITION COMMENCED ON <u> </u> POSITION TERMINATED ON <u> </u>

Schedule G — Business Positions

Note: Some Conflict of Interest Codes require reporting business positions in non-profit corporations. Refer to your disclosure category to see if this applies to you.

Schedule H — Income and Loans to Business Entities (Including Rental Property)

During the reporting period did you and your spouse own an aggregate interest of 10% or more in any business entity (including rental property)?

- ☐ No—You do not need to complete this schedule.
- ☐ Yes—You must list the name of each individual or entity covered by your disclosure category which:

Is located in, or doing business in, your agency's jurisdiction; and

Made payments, including loans, to your business entity during the reporting period; If

Your pro rata share of the gross amount of such payments (including your community property interest in your spouse's share) was \$10,000 or more.

This reporting requirement applies regardless of where your business entity is located or doing business.

Note: If your pro rata share of gross payments from an individual or business entity was \$250 or more, the disqualification provisions of the Political Reform Act are applicable.

NAME OF BUSINESS ENTITY	ADDRESS OF BUSINESS ENTITY
DESCRIPTION OF BUSINESS ACTIVITY OF BUSINESS ENTITY	
NAMES OF SOURCES OF INCOME AND LOANS TO THE BUSINESS ENTITY	
NAME OF BUSINESS ENTITY	ADDRESS OF BUSINESS ENTITY
DESCRIPTION OF BUSINESS ACTIVITY OF BUSINESS ENTITY	
NAMES OF SOURCES OF INCOME AND LOANS TO THE BUSINESS ENTITY	

**Schedule H — Income and Loans to Business Entities
(Including Rental Property)**

FPPC Regulation 18740 contains information regarding exemption of clients' names from disclosure pursuant to a legally recognized privilege under California law. You may obtain a copy of Regulation 18740 by contacting the Fair Political Practices Commission.

FORM 730

Statement of Economic Interests For Designated Employees

MAR 27 8 42 AM '84

Important Notice to Filers: The Political Reform Act is intended to prevent conflicts of interests by requiring public officials such as yourself to disclose financial interests which could foreseeably cause conflicts. In addition, as a public official, you may be required to disqualify yourself from making, participating in, or attempting to influence any governmental decision which will affect your financial interests, including those you are required to report on this Statement. The Fair Political Practices Commission's *Guide to the Political Reform Act: California's Conflict of Interest Law for Public Officials* explains what a conflict of interest is, and when disqualification is required by law. Failure to file your Statement before the filing deadline may result in penalties including but not limited to late fines.

Please type or print in ink

NAME James Thomas Hawthorne	POSITION Member -- Calif. Transportation Commission
STATE DEPARTMENT AND UNIT OR LOCAL AGENCY California Transportation Commission	
MAILING ADDRESS P.O. Box 708; San Diego, California 92111	TELEPHONE NUMBER 619-277-2260

Check the appropriate box(es):

☒ INITIAL STATEMENT: The Conflict of Interest Code for your agency becomes effective on Feb. 22, 1984. You must file a Statement within thirty days after this date disclosing your reportable interests held on this date. You are not required to disclose income, gifts or loans on this Statement.

☒ ASSUMING OFFICE STATEMENT: You have assumed office on Feb. 22, 1984
(DATE)

☒ Position subject to State Senate confirmation—file thirty days after appointment or nomination.

☐ All other positions—file thirty days after assuming office.

You must disclose all reportable interests, other than income, gifts and loans, held on the date you assumed your position.

☐ ANNUAL STATEMENT: You are required to file a Statement no later than _____ disclosing all reportable interests held or received during the period from January 1, _____ through December 31, _____
(DATE)

☐ LEAVING OFFICE STATEMENT: You are leaving or have left your position on _____ and must file a Statement within thirty days of that date. You must disclose all reportable interests held or received during the period from January 1, _____ through the date you left office.
(DATE)

☐ CANDIDATE STATEMENT: You are a candidate for elective office. You must disclose all reportable interests, other than income, gifts, and loans, held on the date you filed nomination papers. You must file this Statement no later than the final filing date of a declaration of candidacy.

The Following Summary Must Be Completed By All Filers

(Do not complete this summary until you have reviewed all schedules and the instructions on the reverse side of each schedule carefully.)

SCHEDULE A—Investments

☒ Schedule completed and attached ☐ No reportable interests ☐ Schedule not applicable to my disclosure category

SCHEDULE B—Interests in Real Property

☒ Schedule completed and attached ☐ No reportable interests ☐ Schedule not applicable to my disclosure category

SCHEDULE C—Interests in Real Property and Investments Held by Business Entities or Trusts

☒ Schedule completed and attached ☐ No reportable interests ☐ Schedule not applicable to my disclosure category

SCHEDULE D—Income (other than Gifts and Loans)

☐ Schedule completed and attached ☐ No reportable interests ☒ Schedule not applicable to this type of statement or to my disclosure category

SCHEDULE E—Loans

☐ Schedule completed and attached ☐ No reportable interests ☒ Schedule not applicable to this type of statement or to my disclosure category

SCHEDULE F—Gifts

☐ Schedule completed and attached ☐ No reportable interests ☒ Schedule not applicable to this type of statement or to my disclosure category

SCHEDULE G—Business Positions

☒ Schedule completed and attached ☐ No reportable interests ☐ Schedule not applicable to this type of statement or to my disclosure category

SCHEDULE H—Income and Loans to Business Entities

☐ Schedule completed and attached ☐ No reportable interests ☒ Schedule not applicable to my disclosure category

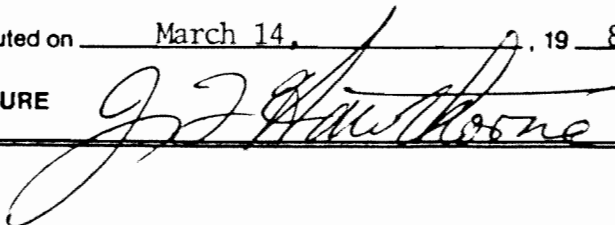
Note: Filers whose reportable interests on any particular schedule have not changed since a previously filed annual Statement may attach copies of the appropriate schedule from the previous annual Statement. Please discard those schedules on which you have nothing to report.

VERIFICATION

I declare under penalty of perjury that I have used all reasonable diligence in preparing this Statement, that I have reviewed the attached schedules and to the best of my knowledge the information provided in this Statement is true and correct.

Executed on March 14, 19 84, at San Diego, California

SIGNATURE



All of the information required by this form is mandatory, is required by the provisions of the Political Reform Act, Government Code Section 81000 et seq., and will be available to any member of the public upon request. This information is to be used to reveal to public scrutiny certain financial interests of public officials and employees in order to disclose potential conflicts of interests and to aid in the prevention of actual conflicts of interests.

Schedule A — Investments

You must report investments in business entities, of the type described in your disclosure category, located in, or doing business in, your agency's jurisdiction, in which you and your immediate family had an aggregate interest of more than \$1,000 during the reporting period. For each investment indicate both the value and the ownership interest in the boxes provided.

NAME OF BUSINESS ENTITY Hawthorne Machinery Co.			VALUE	<input type="checkbox"/> \$1 001 – \$10,000
NATURE OF INTERESTS, E.G., COMMON STOCK, PARTNERSHIP INTEREST, ETC. Common Stock				<input type="checkbox"/> \$10,001 – \$100,000
				<input checked="" type="checkbox"/> Over \$100,000
GENERAL DESCRIPTION OF BUSINESS ACTIVITY Caterpillar Dealership	<input checked="" type="checkbox"/> ACQUIRED <input type="checkbox"/> DISPOSED	DATE Nov. 19, 1956	OWNERSHIP INTEREST	<input type="checkbox"/> Less than 10% * <input checked="" type="checkbox"/> 10% or greater
NAME OF BUSINESS ENTITY Bank of Commerce			VALUE	<input type="checkbox"/> \$1 001 – \$10,000
NATURE OF INTERESTS, E.G., COMMON STOCK, PARTNERSHIP INTEREST, ETC. Common Stock				<input type="checkbox"/> \$10,001 – \$100,000
				<input checked="" type="checkbox"/> Over \$100,000
GENERAL DESCRIPTION OF BUSINESS ACTIVITY Bank	<input checked="" type="checkbox"/> ACQUIRED <input type="checkbox"/> DISPOSED	DATE Oct. 13, 1975	OWNERSHIP INTEREST	<input checked="" type="checkbox"/> Less than 10% * <input type="checkbox"/> 10% or greater
NAME OF BUSINESS ENTITY			VALUE	<input type="checkbox"/> \$1 001 – \$10,000
NATURE OF INTERESTS, E.G., COMMON STOCK, PARTNERSHIP INTEREST, ETC.				<input type="checkbox"/> \$10,001 – \$100,000
				<input type="checkbox"/> Over \$100,000
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	<input type="checkbox"/> ACQUIRED <input type="checkbox"/> DISPOSED	DATE	OWNERSHIP INTEREST	<input type="checkbox"/> Less than 10% * <input type="checkbox"/> 10% or greater
NAME OF BUSINESS ENTITY			VALUE	<input type="checkbox"/> \$1 001 – \$10,000
NATURE OF INTERESTS, E.G., COMMON STOCK, PARTNERSHIP INTEREST, ETC.				<input type="checkbox"/> \$10,001 – \$100,000
				<input type="checkbox"/> Over \$100,000
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	<input type="checkbox"/> ACQUIRED <input type="checkbox"/> DISPOSED	DATE	OWNERSHIP INTEREST	<input type="checkbox"/> Less than 10% * <input type="checkbox"/> 10% or greater
NAME OF BUSINESS ENTITY			VALUE	<input type="checkbox"/> \$1 001 – \$10,000
NATURE OF INTERESTS, E.G., COMMON STOCK, PARTNERSHIP INTEREST, ETC.				<input type="checkbox"/> \$10,001 – \$100,000
				<input type="checkbox"/> Over \$100,000
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	<input type="checkbox"/> ACQUIRED <input type="checkbox"/> DISPOSED	DATE	OWNERSHIP INTEREST	<input type="checkbox"/> Less than 10% * <input type="checkbox"/> 10% or greater
NAME OF BUSINESS ENTITY			VALUE	<input type="checkbox"/> \$1 001 – \$10,000
NATURE OF INTERESTS, E.G., COMMON STOCK, PARTNERSHIP INTEREST, ETC.				<input type="checkbox"/> \$10,001 – \$100,000
				<input type="checkbox"/> Over \$100,000
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	<input type="checkbox"/> ACQUIRED <input type="checkbox"/> DISPOSED	DATE	OWNERSHIP INTEREST	<input type="checkbox"/> Less than 10% * <input type="checkbox"/> 10% or greater

* If you have checked this box, you may have to report your pro rata share of the gross income to, or the interests in real property and investments held by, the business entity on Schedules C, D or H.

Note: You must report any salary, commission, distribution or other income paid to you or your spouse by the business entity on Schedule D, whether or not you have a 10% ownership interest.

Schedule A — Investments

Your "immediate family" means yourself, your spouse, and your dependent children.

An "investment" is any financial interest in a business entity. The most common kinds of investments are corporate stocks and bonds, interests in partnerships, and sole proprietorships. You do not have to report investments in bank accounts, insurance policies, government bonds, interests in diversified mutual funds registered with the S.E.C. under the Investment Act of 1940, or interests in common trust funds created pursuant to Section 1564 of the Financial Code. If you are a beneficiary or maker of a trust, consult the detailed Instruction Manual or your agency's legal counsel or filing officer.

A business entity is in the jurisdiction if it or a related business entity:

- Is located or resides in the jurisdiction.
- Does business in the jurisdiction.
- Plans to do business in the jurisdiction.
- Has done business in the jurisdiction within the previous two years.
- Has an interest in real property in the jurisdiction.

Schedule B — Interests in Real Property

You must report interests in the types of real property described in your disclosure category, located in your agency's jurisdiction, in which you and your immediate family had an aggregate interest of more than \$1,000 during the reporting period. You do not have to report your principal place of residence.

STREET ADDRESS OR PRECISE LOCATION OF PROPERTY 8045 Armour Street, San Diego, Ca.		VALUE	<input type="checkbox"/> \$1,001 – \$10,000
NATURE OF INTEREST, E.G., EQUITY, OPTION Equity			<input type="checkbox"/> \$10,001 – \$100,000
<input checked="" type="checkbox"/> ACQUIRED <input type="checkbox"/> DISPOSED	DATE Nov. 12, 1969	IF RENTAL PROPERTY, OWNERSHIP INTEREST IS:	<input checked="" type="checkbox"/> Over \$100,000
			<input type="checkbox"/> Less than 10%
			* <input checked="" type="checkbox"/> 10% or greater
STREET ADDRESS OR PRECISE LOCATION OF PROPERTY 8011 Armour Street, San Diego, Ca.		VALUE	<input type="checkbox"/> \$1,001 – \$10,000
NATURE OF INTEREST, E.G., EQUITY, OPTION Equity			<input type="checkbox"/> \$10,001 – \$100,000
<input checked="" type="checkbox"/> ACQUIRED <input type="checkbox"/> DISPOSED	DATE July 28, 1971	IF RENTAL PROPERTY, OWNERSHIP INTEREST IS:	<input checked="" type="checkbox"/> Over \$100,000
			<input type="checkbox"/> Less than 10%
			* <input checked="" type="checkbox"/> 10% or greater
STREET ADDRESS OR PRECISE LOCATION OF PROPERTY 530 Andreasen Drive, Escondido, Ca.		VALUE	<input type="checkbox"/> \$1,001 – \$10,000
NATURE OF INTEREST, E.G., EQUITY, OPTION Equity			<input type="checkbox"/> \$10,001 – \$100,000
<input checked="" type="checkbox"/> ACQUIRED <input type="checkbox"/> DISPOSED	DATE May 25, 1976	IF RENTAL PROPERTY, OWNERSHIP INTEREST IS:	<input checked="" type="checkbox"/> Over \$100,000
			<input type="checkbox"/> Less than 10%
			* <input checked="" type="checkbox"/> 10% or greater
STREET ADDRESS OR PRECISE LOCATION OF PROPERTY 2681 Ocean Boulevard, Carlsbad, Ca.		VALUE	<input type="checkbox"/> \$1,001 – \$10,000
NATURE OF INTEREST, E.G., EQUITY, OPTION Equity			<input type="checkbox"/> \$10,001 – \$100,000
<input checked="" type="checkbox"/> ACQUIRED <input type="checkbox"/> DISPOSED	DATE Oct. 23, 1972	IF RENTAL PROPERTY, OWNERSHIP INTEREST IS:	<input checked="" type="checkbox"/> Over \$100,000
			<input type="checkbox"/> Less than 10%
			* <input checked="" type="checkbox"/> 10% or greater
STREET ADDRESS OR PRECISE LOCATION OF PROPERTY		VALUE	<input type="checkbox"/> \$1,001 – \$10,000
NATURE OF INTEREST, E.G., EQUITY, OPTION			<input type="checkbox"/> \$10,001 – \$100,000
<input type="checkbox"/> ACQUIRED <input type="checkbox"/> DISPOSED	DATE	IF RENTAL PROPERTY, OWNERSHIP INTEREST IS:	<input type="checkbox"/> Over \$100,000
			<input type="checkbox"/> Less than 10%
			* <input type="checkbox"/> 10% or greater
STREET ADDRESS OR PRECISE LOCATION OF PROPERTY		VALUE	<input type="checkbox"/> \$1,001 – \$10,000
NATURE OF INTEREST, E.G., EQUITY, OPTION			<input type="checkbox"/> \$10,001 – \$100,000
<input type="checkbox"/> ACQUIRED <input type="checkbox"/> DISPOSED	DATE	IF RENTAL PROPERTY, OWNERSHIP INTEREST IS:	<input type="checkbox"/> Over \$100,000
			<input type="checkbox"/> Less than 10%
			* <input type="checkbox"/> 10% or greater

* If you have checked this box, you may have to report your pro rata share of the total gross rental income (if \$250 or more) on Schedule D, and your pro rata share of the gross rental paid by any individual tenant (if \$10,000 or more) on Schedule H.

Schedule B — Interests In Real Property

"Interests in real property" include ownership interests, options to purchase, and leasehold interests if ten years or more remain on the lease. The value of a leasehold interest is the total amount of rent owed by you during the reporting period. An interest in real property is not reportable if it is your principal place of residence. However, if your principal place of residence also is a place of business, e.g., a family farm or retail business, you are required to disclose that portion of the property which is used in connection with your business activities.

An interest in real property is in the jurisdiction of a local agency if it is located in or within two miles of the jurisdiction of the agency, or if it is located in or within two miles of any land owned or used by the agency. An interest in real property is located within the jurisdiction of a state agency if it is located anywhere within the State of California.

Schedule C — Interests In Real Property and Investments Held by Business Entities or Trusts

During the reporting period did you or your immediate family have a 10% or greater interest in a business entity or in a trust?

- ☐ No—You do not have to complete this schedule.
☒ Yes—Continue reading below.

If yes, does the business entity or trust hold interests in real property or investments of the type covered by your disclosure category?

- ☐ No—You do not have to complete this schedule.
☒ Yes—You must report such interests in real property or investments owned by the business entity or trust if:

- a) Your pro rata share in any *interest in real property* is more than \$1,000 and the real property is located in your jurisdiction (report this on Schedule C-1 below); or
- b) Your pro rata share of any *investment* is more than \$1,000 and the investment is in a business entity located in, or doing business in, your jurisdiction (report this on Schedule C-2 on reverse).

This reporting requirement applies regardless of where your business entity is located or doing business.

Schedule C-1
Interests In Real Property

NAME OF BUSINESS ENTITY OR TRUST HOLDING INTERESTS IN REAL PROPERTY

STREET ADDRESS OR PRECISE LOCATION OF PROPERTY 1066 North Magnolia Avenue; El Cajon, Ca.		VALUE <input type="checkbox"/> \$1,001–\$10,000 <input type="checkbox"/> \$10,001–\$100,000 <input checked="" type="checkbox"/> Over \$100,000
NATURE OF INTEREST, E.G., EQUITY, OPTION Equity		
<input checked="" type="checkbox"/> ACQUIRED <input type="checkbox"/> DISPOSED	DATE July 9, 1980	
STREET ADDRESS OR PRECISE LOCATION OF PROPERTY 1080 North Magnolia Ave., El Cajon, Ca.		VALUE <input type="checkbox"/> \$1,001–\$10,000 <input type="checkbox"/> \$10,001–\$100,000 <input checked="" type="checkbox"/> Over \$100,000
NATURE OF INTEREST, E.G., EQUITY, OPTION Equity		
<input checked="" type="checkbox"/> ACQUIRED <input type="checkbox"/> DISPOSED	DATE May 10, 1982	
STREET ADDRESS OR PRECISE LOCATION OF PROPERTY		VALUE <input type="checkbox"/> \$1,001–\$10,000 <input type="checkbox"/> \$10,001–\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INTEREST, E.G., EQUITY, OPTION		
<input type="checkbox"/> ACQUIRED <input type="checkbox"/> DISPOSED	DATE	
STREET ADDRESS OR PRECISE LOCATION OF PROPERTY		VALUE <input type="checkbox"/> \$1,001–\$10,000 <input type="checkbox"/> \$10,001–\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INTEREST, E.G., EQUITY, OPTION		
<input type="checkbox"/> ACQUIRED <input type="checkbox"/> DISPOSED	DATE	

Schedule C-2 Investments

NAME OF BUSINESS ENTITY OR TRUST HOLDING INVESTMENTS

NAME OF BUSINESS ENTITY Hawthorne Rent-It Service		VALUE <input type="checkbox"/> \$1,001-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input checked="" type="checkbox"/> Over \$100,000
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP INTEREST, ETC. Common Stock		
GENERAL DESCRIPTION OF BUSINESS ACTIVITY Equipment Rental Yards	<input checked="" type="checkbox"/> ACQUIRED <input type="checkbox"/> DISPOSED	DATE Oct. 10, 1974
NAME OF BUSINESS ENTITY		VALUE <input type="checkbox"/> \$1,001-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP INTEREST, ETC.		
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	<input type="checkbox"/> ACQUIRED <input type="checkbox"/> DISPOSED	DATE
NAME OF BUSINESS ENTITY		VALUE <input type="checkbox"/> \$1,001-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP INTEREST, ETC.		
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	<input type="checkbox"/> ACQUIRED <input type="checkbox"/> DISPOSED	DATE
NAME OF BUSINESS ENTITY		VALUE <input type="checkbox"/> \$1,001-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP INTEREST, ETC.		
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	<input type="checkbox"/> ACQUIRED <input type="checkbox"/> DISPOSED	DATE

Schedule C — Interests in Real Property and Investments Held by Business Entities or Trusts

You must provide the same information for any interest in real property or investment listed on this schedule that is required for any investment or interest in real property listed on Schedule A or B. If you are a beneficiary or maker of a trust, consult the detailed instruction Manual or your agency's legal counsel or filing officer.

To determine your "pro rata share" of an interest in real property or investment held by a business entity, multiply the value of the interest or investment by the percentage of the business entity owned by you and your immediate family.

Name J. T. Hawthorne

Schedule G — Business Positions

If you were a director, officer, partner, trustee, employee or held any position of management during the reporting period in any business entity of the type covered by your disclosure category, you must complete this schedule. If your position commenced or terminated during the reporting period, indicate the date on which this occurred.

NAME OF ENTITY Hawthorne Machinery Co.	ADDRESS OF ENTITY 4200 Kearny Mesa Road; San Diego, Ca.
GENERAL DESCRIPTION OF BUSINESS ACTIVITY Caterpillar Dealership	YOUR JOB TITLE OR POSITION President
POSITION HELD THROUGH ENTIRE REPORTING PERIOD? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	POSITION COMMENCED ON <u>1960</u> POSITION TERMINATED ON <u>--</u>
NAME OF ENTITY Bank of Commerce	ADDRESS OF ENTITY 7980 Clairemont Mesa Blvd., S.D., Ca.
GENERAL DESCRIPTION OF BUSINESS ACTIVITY Bank	YOUR JOB TITLE OR POSITION Director
POSITION HELD THROUGH ENTIRE REPORTING PERIOD? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	POSITION COMMENCED ON <u>1975</u> POSITION TERMINATED ON <u> </u>
NAME OF ENTITY	ADDRESS OF ENTITY
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	YOUR JOB TITLE OR POSITION
POSITION HELD THROUGH ENTIRE REPORTING PERIOD? <input type="checkbox"/> Yes <input type="checkbox"/> No	POSITION COMMENCED ON <u> </u> POSITION TERMINATED ON <u> </u>

Schedule G — Business Positions

Note: Some Conflict of Interest Codes require reporting business positions in non-profit corporations. Refer to your disclosure category to see if this applies to you.

Memorandum

To : Dan

Date : July 26, 1985

From : FAIR POLITICAL PRACTICES COMMISSION

Barbara *barbara*

Subject : Roberti Question on Hawthorne

It seems to me that Hawthorne reported his interests in his Caterpillar dealership properly. The only thing I can think of is to suggest to Roberti that whenever a nominee for Senate confirmation has anything questionable on his SEI, the Rules Committee request the nominee to write us for advice. In any case, the conflict (if any) would only be as to particular decisions, not as to being a Transportation Commissioner. If you want me to write a letter, I'll do it after August 5.

BAM:nwm

Please do. Also, pls get Jay involved (ie. Discuss the letter with Jay & have him ch. w/ Roberti's people to see if they are aware of it and if Roberti has any specific suggestions).

Thanks,
Dan